








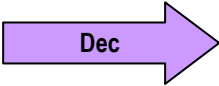

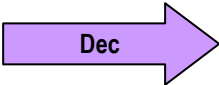
Appendix A - South Kesteven District Council: Risk Management Improvement Plan – Update March 2012

	<i>Current Status</i>	<i>Qtr 3</i>	<i>Qtr 4</i>	<i>Qtr 1 (2012)</i>
1. Maintaining the Service Risk Profile				
<p>1.1 Service risk profile - refresh exercise.</p> <p>Repeat exercise completed in early 2011 to maintain up to-date risk profile, continuing with the rule of capturing only exceptional risks rather than business as usual. In preparation guidance will be issued alerting managers to potential areas of emerging risk for consideration as part of the refresh. (Consideration also needs to be given at this stage to the risks identified in committee reports and whether these are being suitably captured – see 1.2).</p>	Complete			
<p>1.2 Committee reports – Risk assessment.</p> <p>Review the risk reporting process in committee reports .which could include an overall risk rating i.e. N/A, Low, Medium, High with a commentary of the associated risk and mitigation. These can then be monitored as part of on-going responsibilities, with exceptional risks being more formally captured via the service risk refresh and reporting.</p>	On track			

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2. Risk Measuring, Reporting and Monitoring				
2.1 Make use of bespoke set of risk categories that are particular to the Council and its priorities or challenges. All risks will be categorised. The categories will help assist in identifying risk interdependency and therefore improve the robustness of actions being taken. Thus related risks are considered as a group and therefore action planning is more informed and effective, rather than on an individual risk / transaction basis which makes “tackling” more difficult.	Complete			
2.2 Map (using appropriate weighting) corporate risks with the Council priorities. This will assist the Council with understanding the correlation between each risk and each priority project. Thus the Council will know which risk (if realised) would have the greatest impact on the Council priorities. Note: a similar exercise should also be completed in connection with the service risks. Thus providing understanding of alignment and correlation with Council priorities.	Ongoing – linked to 2.5 action			
2.3 Make use of a heat-map for overall risk reporting to supplement corporate and service risk registers.	Complete			
2.4 Document the process which identifies how partnership, project and health & safety risk feeds into the risk management framework. (Note: This is currently being progressed by the Risk Management Group.)	Complete			
2.5 Triangulate Objectives, Risk and Key Performance Indicators. Therefore providing a mechanism through which the Council is better placed to understand the delivery of Council priorities. This could be illustrated through a combined risk & performance, controls & assurance dashboard which provides for an overall picture of risk and performance relating to each objective and key activity of the Council.	Ongoing – linked to new priorities (sign off March Council) and PI's for 2012/13			

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3. Corporate Risk Intelligence and Prioritisation				
<p>3.1 Refresh corporate risk register to ensure it reflects current significant risks faced by the Council as a whole and as part of this process determine and identify which risks are exceptional and which are business as usual. The Council may wish to consider whether it focuses its attention on a set of strategic risks as part of this process. The strategic risks being those risks that will affect the longer term planning of the Council i.e. next 3 years.</p>	Complete			
<p>3.2 Ensure sufficient detail is presented in the corporate register, including that:</p> <ul style="list-style-type: none"> • Risks are suitably described so that their meaning and implications are clear. • Existing controls are included and suitably detailed. • Actions are reviewed to ensure they have a deadline and action owner. • Actions currently marked as ongoing are reviewed to see if they are actually existing controls. <p>Note: Completion of the above will assist the Council in differentiating between those risks of which further action is required and those over which assurance will be required.</p>	Complete			
4. Benchmarking				
<p>4.1 Complete benchmarking of risks with other similar sized local authorities / geographically placed i.e. Lincolnshire councils. The aim being to establish whether there are any common risk which in deed could lead to inter-authority mitigation.</p>	Complete	